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# Before the FEDERAL COMMUNICATIONS COMMISSION Washington, D.C. 20554

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In the Matter of	)	JAN 0 1993
Allocation of Spectrum Below 5 GHz Transferred from Federal Government Use	) ) )	ET Docket No. 94-32 FEDERAL COMMUNICATIONS COMMISSION OFFICE OF SECRETARY

To: The Commission

#### REPLY COMMENTS OF APPLE COMPUTER, INC.

Apple Computer, Inc. ("Apple") hereby submits its reply comments in response to the Notice of Proposed Rulemaking ("NPRM") in the above-referenced proceeding. In particular, these comments address the urgent need for the Commission promptly to allocate appropriate spectrum for unlicensed asynchronous (*i.e.*, data) PCS products, including nomadic Data-PCS devices.

In finalizing its PCS spectrum allocation, the Commission reduced the allocation for unlicensed PCS in order to accommodate the requirements of licensed PCS providers and MSS system operators. The Commission recognized, however, that additional spectrum would be required for unlicensed PCS, and stated its commitment to making that spectrum available expeditiously. The Commission reiterated that commitment in the instant NPRM.<sup>1</sup>

The Commission's commitment can be fulfilled, and the prompt development of Data-PCS can be achieved, by allocating the 2390-2400 MHz band to unlicensed asynchronous (or data) PCS. In addition, allocating this band to unlicensed Data-PCS will better serve the public interest than the other allocations suggested by other parties commenting in this proceeding. Finally, Apple supports retaining the current allocation of the 2402-2417 MHz band, rather than introducing new licensed services into the band.

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<sup>&</sup>lt;sup>1</sup> See NPRM at nn. 27-28.

I. THE COMMISSION SHOULD ALLOCATE THE 2390-2400 MHZ BAND FOR UNLICENSED DATA-PCS AND SHOULD REJECT COMPETING CLAIMS FOR THIS SPECTRUM.

#### A. The Comments Broadly Support This Allocation.

In its comments, Apple discussed the four central reasons for allocating the 2390-2400 MHz band to unlicensed Data-PCS:

- As the Commission previously has recognized and the record in its PCS proceeding demonstrates, Data-PCS represents an important new technology that will benefit users of computing and communications technologies and will enhance American competitiveness, productivity, and education;
- The 2390-2400 MHz band is uniquely suited to meet the essential requirement of Data-PCS: that the spectrum be capable of being made available nationwide in the very near term;
- The 2390-2400 MHz band is the only available spectrum that meets this requirement and, therefore, that will permit the prompt deployment of Data-PCS; and
- Data-PCS will be compatible with the existing uses of the band.

Many of the commenting parties, particularly those in the information industries, reiterated the potential benefits of Data-PCS and the importance of allocating the 2390-2400 MHz band to this service. Support came from a range of businesses, associations, and individuals, including AT&T, Mr. Mike Cheponis, Compaq, Digital Ocean, Microsoft, Standard Micro Systems, Tetherless Access, and the Wireless Cable Association. In addition, the Information Technology Information Council (ITI, formerly CBEMA), a leading trade organization in the information industry, has supported this allocation in an *ex parte* communication to the Commission.<sup>2</sup>

The Software Publishers Association ("SPA"), the principal trade association of the personal computer software industry, presented perhaps the most powerful and realistic description of the numerous benefits that unlicensed Data-PCS products, especially nomadic Data-PCS products, will create. SPA

<sup>&</sup>lt;sup>2</sup> <u>See</u> letter to Chairman Reed Hundt from Rhett B. Dawson, President, ITI, dated December 23, 1994.

highlighted the tension between the two dominant developments in computing technology — networking and mobility — and discussed how wireless computing would lead to countless consumer benefits and engender a new generation of microcomputing products and services. SPA also appended a "white paper" entitled "Wireless Computing — Another Step in the Evolution of Educational Technology," which discusses the benefits wireless computing could bring to America's classrooms.

### B. <u>Data-PCS Would Be Compatible with Existing Uses of the 2390-2400 MHz Band.</u>

Of all of the suggested uses to which the 2390-2400 MHz band could be put, Data-PCS would be the most compatible with existing uses of the band. As Apple noted in its comments, the technical rules governing Data-PCS devices would be derived from the existing rules for unlicensed asynchronous devices operating in the 1910-1920 MHz unlicensed PCS band.<sup>3</sup> The two groups currently affected by operations in the 2390-2400 MHz band — radio astronomy users and amateur users — would find the relatively low maximum power levels specified in those rules to be benign.

Radio astronomy users, in particular, require careful treatment.<sup>4</sup> Low-power Data-PCS transmitters would minimize the threat of high power transmitters on adjacent frequencies and from spurious emissions appearing within the frequencies of interest, because these devices are constrained both in their in-band and out-of-band emissions.<sup>5</sup>

<sup>&</sup>lt;sup>3</sup> The "etiquette"-based rules adopted in the PCS proceeding and reflected in Parts 15.300 *et seq.* of the FCC's rules should be modified to encompass operation within the 2390-2400 MHz band under the constraints of §15.247, with certain obvious differences, such as maximum power levels, to be reconciled through industry recommendation. In this fashion, those desiring to use spread-spectrum modulation technologies in the 2390-2400 band would be allowed to do so, but no one would be required to do so.

<sup>&</sup>lt;sup>4</sup> <u>See</u> Comments of National Astronomy and Ionosphere Center, Arecibo Observatory, filed on behalf of Cornell University; Comments of the National Academy of Sciences' Committee on Radio Frequencies.

<sup>&</sup>lt;sup>5</sup> See 47 C.F.R. § 15.321 (d) (requiring that emissions be attenuated below a reference power of 112 milliwatts 30 dB between the channel edges and 1.25 MHz above or below the channel, 50 dB between 1.25 and 2.5 MHz above or below the channel, and 60 dB at 2.5 MHz or greater above or below the channel); see also 47 C.F.R. § 15.319(c), (d) and (e). Under the rules, peak transmit power is limited to 100 microwatts multiplied by the square root of the emission bandwidth in hertz. For example, a 100 kHz data signal would be permitted 32 mW, a 1 MHz signal 100 mW, and a 10 MHz signal 316 mW output. In addition to these existing restrictions, Apple would be willing to work with

Similarly, low powered unlicensed Data-PCS devices would be most compatible with ham radio operators. The ham operators have made clear that, if they must share their frequencies, it is vital that the Commission assign their band to a user that is compatible — both technically and in terms of priority of use — with ham operators.<sup>6</sup> This is in the interest not only of the hams themselves but of the public at large. Amateur radio operators have long served an important public role, for example, by providing an alternative communication path during major storms, earthquakes and other disasters. Yet the other proposed uses for the 2390-2400 MHz band either are clearly incompatible with ham use,<sup>7</sup> appear unlikely to be compatible with ham use, or have failed to address the extent to which they could share spectrum successfully with ham operators.

### C. The Commission Should Reject Other Proposed Uses for the 2390-2400 MHz Band.

#### 1. Wireless Local Loop Services.

Several telephone companies suggested that the Commission should allocate the 2390-2400 MHz band, together with the 2300-2310 MHz band, for wireless local loop services. In essence, these companies ask the Commission to

radio astronomy users to determine whether additional protections may be appropriate in the immediate proximity of the Arecibo Observatory, notwithstanding the very low power and restricted emissions of Data-PCS devices.

<sup>&</sup>lt;sup>6</sup> See, e.g. Comments of the American Radio Relay League, Incorporated ("AARL") at 18 n.21 ("The League is not averse to compatible sharing partners, provided that the allocation status of amateurs is co-primary with the new user, and that no assignments be made without showing of advance coordination with the Amateur service"); see also Comments of A. Frank Adamson.

<sup>&</sup>lt;sup>7</sup> See, e.g., discussion of wireless local loop services, infra. Any allocation that would take the 2390-2400 MHz band away from the hams would exacerbate an already difficult situation for these users. As ARRL stated in its comments, "The Amateur Service has had a number of its allocations reduced or eliminated in recent years, and it was the specific intention of Congress that amateur uses in shared government bands not suffer from the reallocation process ordered by the Reconciliation Act.... The Amateur service has, in the past ten years, seen the deletion of the amateur allocation at 2310-2390 MHz, a loss of fully 80 MHz of spectrum.... It has also seen the reallocation of 220-222 MHz, 420 -430 MHz in certain areas of the country, and currently is being threatened with a defacto loss of utility of the 902-928 MHz band, through the imprudent addition of incompatible uses there. The same is true of the 449 MHz band is certain areas. The constant winnowing of amateur VHF and UHF allocations in recent years has resulted in a steady migration of specialized amateur uses, to the bands above 928 MHz." ARRL Comments at 23.

grant existing wireline telephone companies exclusive rights to 20 MHz. This request should be rejected by the Commission.

First, allocating the 2390-2400 and 2300-2310 MHz bands for wireless local loop service would conflict directly with the Commission's goal of increasing competition in local telephone markets. Not surprisingly, the incumbent telephone companies seek an immediate allocation that would be available exclusively to existing wireline carriers.<sup>8</sup> Because the entire 20 MHz would be allocated in a single block<sup>9</sup> and, in most cases, would not be auctioned, <sup>10</sup> existing carriers would be able to "lock in" 20 MHz of free spectrum and deny future competitors access to what — according to the incumbent telephone companies themselves — is a valuable, and in some cases essential, resource.

Second, the proposed allocation may be largely duplicative of, and inconsistent with, the recently-concluded PCS allocation. While wireless local loop proponents state that this service would provide primarily fixed services and therefore is distinct from the PCS allocation, 11 they admit that the band would be used for some mobile applications. 12 Indeed, the proponents generally did not recommend that the Commission limit the allocation to fixed services. 13 Telephone carriers would thus, at a minimum, be able to provide at least some of the services envisioned for PCS systems (such as cordless telephone services and limited-range "neighborhood" mobile telephone services, both of which were described as important potential PCS services in the FCC's PCS Task Force

<sup>&</sup>lt;sup>8</sup> <u>E.g.</u>, Bell Atlantic Comments at n.4 ("[a]ny entity authorized to provide local loop services in a particular jurisdiction should be eligible to obtain licenses to use the spectrum addressed in these Comments."); Comments of TDS Telecommunications Corp. at 4 (bands would be available for licensing to LECs in their state-recognized local exchange service areas).

<sup>9</sup> E.g., Comments of Rochester Telephone at 3, Comments of SWBT at 9.

<sup>10</sup> Under the Budget Act, spectrum may be auctioned only if mutually exclusive applications have been filed. As a result, if only existing local exchange carriers operating within their service areas are eligible for licenses in these bands, auctions would be possible only for licenses to serve the relatively few service areas in which local exchange competition has been authorized.

<sup>11 &</sup>lt;u>E.g.</u>, Comments of SWBT at 8-9.

<sup>12</sup> E.g., Comments of SWBT at 2.

<sup>13 &</sup>lt;u>But see</u> Comments of TDS Telecommunications Corp. at 6, Comments of United States Telephone Association at n.7 (a restriction on providing mobile services using wireless local loop frequencies "may" be appropriate).

hearings).<sup>14</sup> The overlap between wireless local loop and PCS services would be significantly greater if wireless local loop systems were used to provide a wide range of mobile services.<sup>15</sup>

Such an overlapping allocation not only is an inefficient use of spectrum, it also could threaten the ongoing PCS auctions, the development of PCS systems, and the Commission's spectrum cap rules. Wireless local loop spectrum generally would not be auctioned, and licensees in these bands would not be subject to the stringent PCS build-out requirements. As a result, these licensees would have a significant advantage over PCS providers and their existence could dampen PCS development. Indeed, even the promise of free, PCS-like spectrum could undermine the PCS license auctions.

Even if a wireless local loop allocation did not raise the serious policy concerns discussed above, such an allocation would not represent the best and most valued use for the 2390-2400 MHz band. Proponents of a wireless local loop allocation describe several niche services that would be provided via this spectrum: increasing telephone penetration in rural areas, meeting seasonal demand in summer resort areas, providing additional lines to certain existing customers, and replacing aging plant in certain high-cost areas. Yet they seek a 20 MHz nationwide allocation, in addition to the existing Basic Exchange Telecommunications Radio Service (BETRS) allocation, and would deny all other users (including amateur users and Data-PCS users) access to this band. 16

In light of the relatively limited uses described by proponents of a wireless local loop allocation, devoting 20 MHz, nationwide, exclusively to this service would not serve the public interest.<sup>17</sup> The goals cited by the proponents could

<sup>&</sup>lt;sup>14</sup> See, e.g., Comments of Taridan Telecommunications Ltd. (suggesting that wireless local loop systems be permitted to provide "neighborhood mobility").

<sup>15</sup> If, on the other hand, wireless local loop services would indeed be fixed services, they could be accommodated at higher frequencies. See Comments of Avant-Garde Telecommunications, Inc. (wireless local loop services could be provided in the 38 GHz band). The frequencies identified by Avant-Garde are near the allocations under Commission investigation in ET Docket 94-124.

<sup>16</sup> E.g., Comments of SWBT at 7.

<sup>&</sup>lt;sup>17</sup> For example, SWBT estimates that wireless local loop applications would provide approximately three percent geographic coverage per year. Comments of SWBT at 8. Using this estimate, even a decade after licenses for this service were granted, the spectrum would lie fallow in more than two-thirds of the country. US West's suggestion that the Commission permit licensees to lease excess spectrum to third parties would not

better be met in other ways. If, on the other hand, wireless local loop services will provide a broad range of important fixed and mobile services, then the anti-competitive effects of such an allocation on potential competitive local telephone carriers and on PCS providers are profound. In either case, the Commission should reject this proposed allocation.

#### 2. <u>Private Systems.</u>

Several of the commenting parties suggested that the FCC allocate the 2390-2400 MHz band to private systems, such as those described in the COPE petition for "private PCS."

While Apple agrees that an allocation for these types of services may have merit, the 2390-2400 MHz band would not meet their requirements. Although the proponents of a private allocation provided fairly generalized descriptions of the envisioned service and few estimates of the spectrum required to support those services, it is clear that most desire an allocation that would support very wide band applications (such as full motion video) throughout large geographic areas. For example, the Los Angeles County Sheriff suggested that two to four 6 MHz wide video channels would be needed. Motorola suggested that 75 MHz would be required to meet COPE's needs. Motorola suggested that vould clearly be inadequate to meet these requirements.

While this band could conceivably be combined with other bands, forcing operators to design systems today without a complete allocation is problematic. Moreover, in such a scenario individual networks would be forced to operate on multiple bands, which would increase the technical and administrative

serve the public interest if, as proposed by SWBT and others, local exchange telephone companies had exclusive rights to obtain licenses in these bands.

<sup>&</sup>lt;sup>18</sup> Comments of the Los Angeles County Sheriff's Department at 4; see also Comments of APCO (users require broadband communications networks to transmit, among other things, live full motion video).

<sup>&</sup>lt;sup>19</sup> Motorola Comments at 9.

Motorola also states that this band suffers from noise problems that will raise infrastructure costs and states that, "[f]rom a pure spectrum engineering standpoint, other bands that are the subject of the NTIA transfer could be preferable for private systems." Motorola Comments at 7, 9. Although it concludes that, notwithstanding these problems, the band could be used for private services, it conditions its conclusions on the Commission pairing this band with the 2300-2310 MHz band and allocating additional spectrum in the future.

complexity and cost of systems, and could create interoperability problems. For these reasons, Apple believes that private users could better be accommodated in another, larger band — either a band to be reallocated by NTIA in the next several years, in unallocated emerging technologies spectrum, or elsewhere.

For Data-PCS, the 2390-2400 MHz band is the only viable solution in view; for private users, it is at best a partial, and non-unique, accommodation. Indeed, many of the users requesting an allocation for private systems did not specifically request an allocation of the 2390-2400 MHz band. As a result, the public interest will best be served if the Commission allocates this band to the users who most immediately and uniquely require it — Data-PCS users and current amateur and radio astronomy users — while seeking an alternative acceptable allocation for private systems.

#### 3. Other.

The other uses suggested for the band — including in-flight services, additional PCS spectrum, or general fixed and mobile allocations — also would not serve best the public interest.

In-flight services would (at a minimum) substantially reduce the ability of ham radio operators to use the 2390-2400 MHz band. While some proponents of these services assert that they could share spectrum with ham operators, Apple believes that the conditions under which ham operators would be required to operate (as described in comments filed by proponents of an in-flight allocation) would be unworkable. In addition, in-flight services — which would merely augment existing technologies, such as video recorders, and which would be limited to ground-to-air transmissions and, therefore, could provide only a finite range of entertainment services — would not promise the substantial public interest benefits of Data-PCS. Finally, the fact that some entities may have invested in equipment for use under an existing experimental license should not itself form the basis for a Commission spectrum allocation decision.

With respect to PCS, the Commission has recently allocated a very large block of frequencies to PCS, and it would be inadvisable at this point to set aside additional spectrum for this service. In particular, it would not serve the public interest to permit PCS licensees to obtain new spectrum merely to avoid the cost and inconvenience of moving incumbent microwave stations out of the 2 GHz band, as Pacific Telesis suggested.<sup>21</sup>

Finally, a generalized fixed/mobile allocation is inadvisable for the reasons discussed in Apple's comments in this proceeding and by many other commenting parties. As virtually all of the parties addressing this proposal stated, such an allocation suffers from a wide range of legal and policy flaws: it is unlikely to place the spectrum at its best and most valued use; it may create a patchwork of non-complimentary services that do not support interoperability, roaming, and other attributes desired by users; it ignores the Commission's obligation to determine spectrum allocations in the public interest; and it could result in difficult technical problems that would be costly and time-consuming to resolve. Moreover, using auctions to assign such licenses would violate the requirements of the Budget Act that competitive bidding only be used under certain clearly defined conditions, that the FCC use available tools to avoid mutual exclusivity, and that auctions not be used to determine spectrum allocations. At a minimum, such an allocation would likely unleash a barrage of legal challenges and power struggles that would keep the Commission staff occupied, and the spectrum unutilized, for the foreseeable future.

## II. THE COMMISSION SHOULD NOT AUCTION THE 2402-2417 MHZ BAND OR LICENSE ANY NEW SERVICES IN THAT BAND.

The comments provided a comprehensive overview of the important Part 15 applications relying (or expecting to rely) on the 2.4 GHz ISM band, as well as the harm that would be caused to manufacturers and users if the Commission were to license services in this band.<sup>22</sup> Comments supporting continued unlicensed operation in the band were filed not only by manufacturers of Part 15

<sup>&</sup>lt;sup>21</sup> See Comments of Pacific Telesis at 2.

<sup>22</sup> See Comments of 3Com Corporation; Comments of Compaq Computer Corporation; Comments of Cylink Corporation; Comments of Norand Corporation; Comments of Microsoft Corporation; Comments of the Software Publishers Association; Comments of Symbol Technologies, Inc.; Comments of Xircom; see also Comments of Advanced Micro Devices, Inc. ("AMD"); Comments of AT&T Corporation; Comments of Electronic Industry Association of the Consumer Electronics Group ("EIA/CEG"); Comments of IEEE 802; Comments of Industrial Telecommunications Association, Inc. ("ITA"); Comments of International Business Machines Corporation ("IBM"); Comments of Metricom, Inc.; Comments of Motorola, Inc.; Comments of Rockwell International Corporation; Comments of Telecommunications Industry Association; Comments of Tetherless Access Ltd.; Comments of Western Multiplex Corporation; Comments of Wireless Information Network Forum, Inc. ("WINForum").

devices, but also by parties (such as UTC and API) that currently utilize these devices to meet their internal communications needs. Apple will not repeat these comments, except to reiterate its strong support for retaining the existing allocation of the 2.4 GHz ISM band.

#### **CONCLUSION**

For the reasons stated above, Apple respectfully requests that the Commission take the actions discussed herein and in its comments filed in this proceeding.

Respectfully submitted,

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